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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 38586-327005

U.S. APPLICATION NO. (If known, see 37 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** Not yet assigned INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/029281 13 September 2002 (13.09.2002) 15 September 2003 (15.09.2003) TITLE OF INVENTION Nell-1 Enhanced Bone Mineralization APPLICANT(S) FOR DO/EO/US TING, Kang Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🔲 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). unexecuted An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). X An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. L An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. **X** A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. X A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🗶

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

Other items or information: Certificate of Express Mailing (1 p.); Sequence Listing No. 1 & 2 (4 pp.); Diskette (1) and return postcard.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER Not let assigned 27 Хb PCT/US2003/029281 38586-327005 CALCULATIONS The following fees have been submitted PTO USE ONLY Basic national fee.....\$300 21. 300 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 100 PCT Article 33(1)-(4)......\$100 All other situations.....\$200 23. Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 100 All other situations.....\$500 \$ 500 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) 0 /50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED **NUMBER EXTRA** RATE \$ Total claims 41 - 20 = x \$50 \$ 1050 21 x \$200 \$ 600 Independent claims - 3 = 3 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = 2150 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. 1075 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). 1075 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1075 Amount to be \$ refunded: Amount to be charged: to cover the above fees is enclosed. A check in the amount of \$ _ b. **X** Please charge my Deposit Account No. <u>13-0206</u> in the amount of \$ <u>1075</u> A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-0206</u>. A duplicate copy of this sheet is enclosed. c. 🗶 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: John G. Bisbikis McDermott Will & Emery LLP John G. Bisbikis 227 West Monroe Chicago, Illinois 60606

37.095

REGISTRATION NUMBER

US

In re Appln. of Ting
Request to Begin National Examination Procedures under 35 U.S.C. 371
"Nell-1 Enhanced Bone Mineralization"
Docket No. 38586-327005

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EV511951408US

Date of Deposit: March 11, 2005

I hereby certify that the following documents:

- 1. Certificate of Express Mailing (1 p.);
- 2. Form PTO-1390 (2 pp. in dup.);
- 3. Preliminary Amendment (8 pp.);
- 4. Combined Declaration and Power of Attorney (2 pp.);
- 5. Sequence Listing Nos. 1 & 2 (4 pp.);
- 6. Electronic Copy of Sequence Listings (1 Diskette) and
- 7. Return Postcard.

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Catherine N. Hunter

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)